



SAJAG SECURITIES PVT. LTD.

MEMBER: NATIONAL STOCK EXCHANGE OF INDIA LTD. & BOMBAY STOCK EXCHANGE LTD.

DEPOSITORY PARTICIPANT: NATIONAL SECURITIES DEPOSITORY LTD. & CENTRAL DEPOSITORY SERVICES LTD.

33/15-B, KARVE ROAD, REGENT CHAMBERS, OPP. GARWARE COLLEGE, PUNE - 411004.

SELF-CERTIFICATION FOR ENTITIES FOR FATCA / CRS

Part I

<p>A. Is the account holder a Government body/International Organization/listed company on recognized stock exchange? If “No”, then proceed to point B. If “yes” please specify name of stock exchange, if you are listed company and proceed to sign the declaration.</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>B. Is the account holder a (Entity/Financial Institution) tax resident of any country other than India? If “yes”, then please fill of FATCA/ CRS Self certification Form as per PART II. If “No”, proceed to point C</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>C. Is the account holder an Indian Financial Institution? If “yes”, please provide your GIIN, if any. If “No”, proceed to point D</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>D. Are the Substantial owners or controlling persons in the entity or chain of ownership resident for tax purpose in any country outside India or not an Indian citizen? If “yes”, (then please fill FATCA/ CRS self-certification form as per PART II) If “No”, proceed to sign the declaration</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>

Customer Declaration:

() Under penalty of perjury, I/we certify that:

1. The applicant is:

(i) An applicant taxable as a US person under the laws of the United States of America (“U.S.”) or any state or political subdivision thereof or therein, including the District to Columbia or any other states of the U.S.,

(ii) An estate the income of which is subject to U.S. federal income tax regardless of the source thereof. (This clause is applicable only if the account holder is identified as a US person)

2. The applicant is an applicant taxable as a tax resident under the laws of country outside India.

(i) I/We understand that SSPL is relying on this information for the purpose of determining the status of the applicant named above in compliance with FATCA/CRS. SSPL is not able to offer any tax advice on FATCA/CRS or its impact on me/us. I/we shall seek advice from professional tax advisor for any tax questions.

(ii) I/We agree to submit a new form within 30 days if any information or certification on this form becomes incorrect.

(iv) I/We agree that as may be required by domestic regulators/tax authorities SSPL may also be required to report, reportable details to CBDT or close or suspend my account.

(v) I/We certify that I/we provide the information on this form and to the best of my/our knowledge and belief the certification is true, correct, and complete including the taxpayer identification number of the applicant.

Signature (as per MOP)	
Name of Account Holder	
Date	



SAJAG SECURITIES PVT. LTD.

MEMBER: NATIONAL STOCK EXCHANGE OF INDIA LTD. & BOMBAY STOCK EXCHANGE LTD.

DEPOSITORY PARTICIPANT: NATIONAL SECURITIES DEPOSITORY LTD. & CENTRAL DEPOSITORY SERVICES LTD.

33/15-B, KARVE ROAD, REGENT CHAMBERS, OPP. GARWARE COLLEGE, PUNE - 411004.

Part II

Self-Certification Form (Entity) for Foreign Account Tax Compliance Act ("FATCA") and Common Reporting Standards (CRS)

Section 1: Entity information

Name of Entity	
Client Code /Client ID	
Address	
Entity Constitution Type (Refer Appendix 2)	
Entity Identification type (Refer Appendix 2)	<input type="checkbox"/> T <input type="checkbox"/> G <input type="checkbox"/> C <input type="checkbox"/> E <input type="checkbox"/> O
Entity Identification No (based on entity identification type)	
Entity Identification issuing country	
Country of Residence for tax purpose	

Section 2: Classification of Non-Financial entities

I/We (on behalf of the entity) certify that the entity is:

a) An entity incorporated and taxable in US (Specified US person)	<input type="checkbox"/> Yes <input type="checkbox"/> No
If "Yes", please provide your U.S. Taxpayer Identification Number (TIN)	
b) An entity incorporated and taxable outside of India (other than US)	<input type="checkbox"/> Yes <input type="checkbox"/> No
If "Yes", please provide your TIN or its functional equivalent	
Provide your TIN issuing country	
c) Please provide the following additional details if you are not a Specified US Person	
FATCA / CRS classification for Non-financial entities (NFFE)	
Active NFFE	<input type="checkbox"/>
Passive NFFE without any controlling Person	<input type="checkbox"/>
Passive NFFE with Controlling Person(s)	<input type="checkbox"/>
Direct Reporting NFFE (Choose this if any entity has registered itself for direct reporting for FATCA and thus SSPL is not required to do the reporting). Please provide GIIN number _____	<input type="checkbox"/>

Section 3: Classification of financial institutions (including Banks)

I/We (on behalf of the entity) certify that the entity is

a. An entity is a U.S. financial institution	<input type="checkbox"/> Yes <input type="checkbox"/> No
If "Yes", (i) Please provide your Taxpayer Identification Number (TIN)	



SAJAG SECURITIES PVT. LTD.

MEMBER: NATIONAL STOCK EXCHANGE OF INDIA LTD. & BOMBAY STOCK EXCHANGE LTD.

DEPOSITORY PARTICIPANT: NATIONAL SECURITIES DEPOSITORY LTD. & CENTRAL DEPOSITORY SERVICES LTD.

33/15-B, KARVE ROAD, REGENT CHAMBERS, OPP. GARWARE COLLEGE, PUNE - 411004.

Please provide GIIN, if any		
If “No”, please tick one of the following boxes below:		
FATCA classification	Please provide the Global Intermediary Identification number (GIIN) or other information where	
Reporting Foreign Financial Institution in a Model 1 applicable Inter-Governmental Agreement (“IGA”) Jurisdiction	<input type="checkbox"/>	
Reporting Foreign Financial Institution in a Model 2 IGA Jurisdiction	<input type="checkbox"/>	
Participating FFI in a Non-IGA Jurisdiction	<input type="checkbox"/>	
Non-reporting FI	<input type="checkbox"/>	
Non-Participating FI	<input type="checkbox"/>	
Owner-Documented FI with specified US owners	<input type="checkbox"/>	

Section 4: Controlling person declaration

If you are classified as “**Passive NFFE with Controlling Person(s)**” or “**Owner documented FFI**” or “**Specified US person**”, please provide the following details:

Name of controlling person	Correspondence Address	Country of residence for tax purpose	TIN	TIN issuing Country	Controlling person type

Details	Controlling person 1	Controlling person 2	Controlling person 3	Controlling person 4	Controlling person 5
Identification Type (Refer Appendix 2)					
Identification Number					
Occupation Type (Refer Appendix 2)					
Occupation					
Birth Date					
Nationality					
Country of Birth					



SAJAG SECURITIES PVT. LTD.

MEMBER: NATIONAL STOCK EXCHANGE OF INDIA LTD. & BOMBAY STOCK EXCHANGE LTD.

DEPOSITORY PARTICIPANT: NATIONAL SECURITIES DEPOSITORY LTD. & CENTRAL DEPOSITORY SERVICES LTD.

33/15-B, KARVE ROAD, REGENT CHAMBERS, OPP. GARWARE COLLEGE, PUNE - 411004.

Section 5: Declaration

(i) Under penalty of perjury, I/we certify that:

1. The number shown on this form is the correct taxpayer identification number of the applicant, and

2. The applicant is (i) an applicant taxable as a US person under the laws of the United States of America (“U.S.”) or any state or political subdivision thereof or therein, including the District of Columbia or any other states of the U.S., (ii) an estate the income of which is subject to U.S. federal income tax regardless of the source thereof, or

3. The applicant is an applicant taxable as a tax resident under the laws of country outside India.

(ii) I/We understand that SSPL is relying on this information for the purpose of determining the status of the applicant named above in compliance with CRS/FATCA. SSPL is not able to offer any tax advice on CRS or FATCA or its impact on me/us. I/we shall seek advice from professional tax advisor for any tax questions.

(iii) I/We agree to submit a new form within 30 days if any information or certification on this form gets changed.

(iv) I/ We agree as may be required by /Regulatory authorities, SSPL shall be required to comply to report, reportable details to CBDT or close or suspend my account.

(v) I/We certify that I/we provide the information on this form and to the best of my/our knowledge and belief the certification is true, correct and complete including the tax payer identification number of the applicant.

I/We hereby confirm that details provided are accurate, correct and complete:

Signature (as per MOP)	
Names and designation of Signatories	
Name of Account Holder	
Date	
PAN Number of Account Holder	

(Company Seal, if applicable, to be affixed)